

TAIL RECURSION TRANSFORMATION

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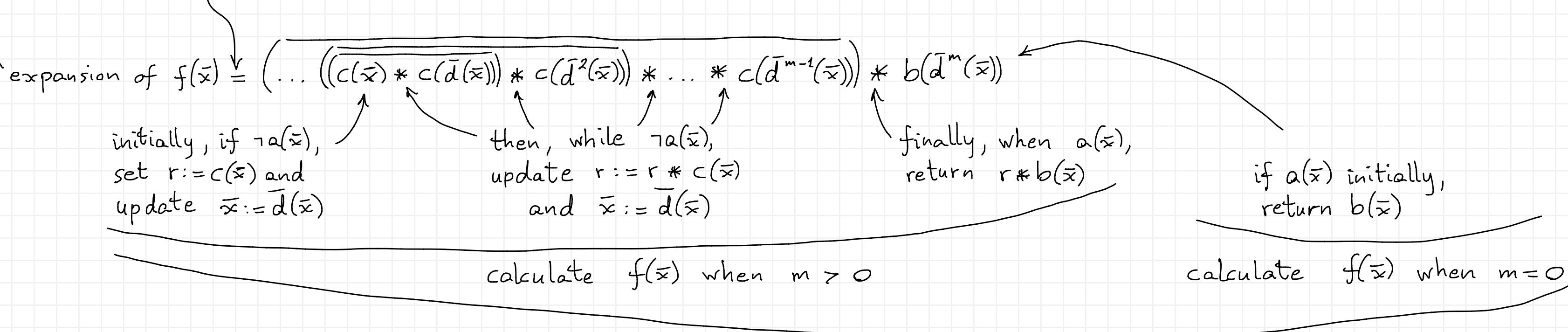
Associative Binary Operator

old function: $f(\bar{x}) \triangleq \text{if } a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } c(\bar{x}) * f(\bar{d}(\bar{x}))$ $\bar{x} = (x_1, \dots, x_n)$ $\bar{d}(\bar{x}) = (d_1(\bar{x}), \dots, d_n(\bar{x}))$ $n > 0$

$$\boxed{\tau_f} \quad \neg a(\bar{x}) \Rightarrow \mu_f(\bar{d}(\bar{x})) \prec_f \mu_f(\bar{x})$$

$$f(\bar{x}) = \underbrace{c(\bar{x}) * \left(c(\bar{d}(\bar{x})) * \left(c(\bar{d}^2(\bar{x})) * \dots * \left(c(\bar{d}^{m-1}(\bar{x})) * b(\bar{d}^m(\bar{x})) \dots \right) \right) \right)}_{\text{expansion of } f(\bar{x})} \quad m > 0 \quad \forall j \in \{0, \dots, m-1\}. \neg a(\bar{d}^j(\bar{x})) \quad a(\bar{d}^m(\bar{x}))$$

condition: **ASC** $u * (v * w) = (u * v) * w$ — associativity $\Rightarrow (U, *)$ is a semigroup



new function: $f'(\bar{x}, r) \triangleq \text{if } a(\bar{x}) \text{ then } r * b(\bar{x}) \text{ else } f'(\bar{d}(\bar{x}), r * c(\bar{x}))$ — tail-recursive

$$\mu_{f'}(\bar{x}, r) \triangleq \mu_f(\bar{x}) \quad \prec_{f'} \triangleq \prec_f$$

relation between f and f' : $f(\bar{x}) = \text{if } a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } f'(\bar{d}(\bar{x}), c(\bar{x}))$

$\vdash \boxed{\tau_{f'}}$ $\neg a(\bar{x}) \Rightarrow \mu_{f'}(\bar{d}(\bar{x}), r * c(\bar{x})) \prec_{f'} \mu_{f'}(\bar{x}, r)$ — f' terminates

$$\begin{array}{c} \neg a(\bar{x}) \xrightarrow[\tau_f]{\delta_{\mu_{f'}}} \mu_f(\bar{d}(\bar{x})) \prec_f \mu_f(\bar{x}) \\ \delta_{\mu_{f'}} \parallel \delta_{\mu_f} \parallel \delta_{\mu_{f'}} \\ \mu_{f'}(\bar{d}(\bar{x}), r * c(\bar{x})) \prec_{f'} \mu_{f'}(\bar{x}, r) \end{array}$$

QED

$\vdash \boxed{f'f}$ $f'(\bar{x}, r) = r * f(\bar{x})$ — relation between f' and f (f' in terms of f)

$$\begin{array}{l} \text{base)} \quad a(\bar{x}) \xrightarrow{\delta_f} f'(\bar{x}, r) = r * b(\bar{x}) = r * f(\bar{x}) \\ \text{induct } f' \quad \xrightarrow{\delta_f} f(\bar{x}) = b(\bar{x}) \\ \text{step)} \quad \begin{array}{l} \neg a(\bar{x}) \xrightarrow{\delta_f} f(\bar{x}) = c(\bar{x}) * f(\bar{d}(\bar{x})) \\ \vdash \neg a(\bar{x}) \xrightarrow{\delta_f} f'(\bar{d}(\bar{x}), r * c(\bar{x})) = (r * c(\bar{x})) * f(\bar{d}(\bar{x})) \stackrel{ASC}{=} r * (c(\bar{x}) * f(\bar{d}(\bar{x}))) = r * f(\bar{x}) \\ \vdash \neg a(\bar{x}) \xrightarrow{\delta_f} f'(\bar{d}(\bar{x}), r * c(\bar{x})) = (r * c(\bar{x})) * f(\bar{d}(\bar{x})) \end{array} \end{array}$$

QED

$\vdash \boxed{ff'}$ $f(\bar{x}) = \underline{\text{if }} a(\bar{x}) \underline{\text{then }} b(\bar{x}) \underline{\text{else }} f'(\bar{d}(\bar{x}), c(\bar{x}))$ — relation between f and f' (f in terms of f')

$$\begin{array}{l} f(\bar{x}) \xrightarrow{\delta_f} \underline{\text{if }} a(\bar{x}) \underline{\text{then }} b(\bar{x}) \underline{\text{else }} c(\bar{x}) * f(\bar{d}(\bar{x})) = \underline{\text{if }} a(\bar{x}) \underline{\text{then }} b(\bar{x}) \underline{\text{else }} f'(\bar{d}(\bar{x}), c(\bar{x})) \\ f'f \xrightarrow[r := c(\bar{x})]{\bar{x} := \bar{d}(\bar{x})} f'(\bar{d}(\bar{x}), c(\bar{x})) = c(\bar{x}) * f(\bar{d}(\bar{x})) \end{array}$$

QED

wrapper : $\tilde{f}(\bar{x}) \triangleq \underline{\text{if }} a(\bar{x}) \underline{\text{then }} b(\bar{x}) \underline{\text{else }} f'(\bar{d}(\bar{x}), c(\bar{x})) \implies \vdash \boxed{\tilde{f}\tilde{f}} \quad f(\bar{x}) = \tilde{f}(\bar{x})$

Associative Binary Operator with Left Identity

conditions { ASC $u * (v * w) = (u * v) * w$ — associativity
LI $b(\bar{x}) * u = u$ — left identity

$$f(\bar{x}) = c(\bar{x}) * \left(c(\bar{d}(\bar{x})) * \left(c(\bar{d}^2(\bar{x})) * \dots * \left(c(\bar{d}^{m-1}(\bar{x})) * b(\bar{d}^m(\bar{x})) \dots \right) \right) \right) \quad \text{— expansion of } f, \text{ as before}$$

$\Rightarrow //$

$$b(\bar{x}) * \left(c(\bar{x}) * \left(c(\bar{d}(\bar{x})) * \left(c(\bar{d}^2(\bar{x})) * \dots * \left(c(\bar{d}^{m-1}(\bar{x})) * b(\bar{d}^m(\bar{x})) \dots \right) \right) \right) \right)$$

ASC $\rightarrow //$

$$\left(\dots \left(\left(\left(b(\bar{x}) * c(\bar{x}) \right) * c(\bar{d}(\bar{x})) * c(\bar{d}^2(\bar{x})) \right) * \dots * c(\bar{d}^{m-1}(\bar{x})) * b(\bar{d}^m(\bar{x})) \right) \right)$$

start with $r := b(\bar{x})$
instead of $r := c(\bar{x})$

one more update $r := r * c(\bar{x})$ than before,
no need to update $\bar{x} := \bar{d}(\bar{x})$ initially

no initial split on $a(\bar{x})$,
because r starts as $b(\bar{x})$ instead of $c(\bar{x})$

new function: $f'(\bar{x}, r) \triangleq \text{if } a(\bar{x}) \text{ then } r * b(\bar{x}) \text{ else } f'(\bar{d}(\bar{x}), r * c(\bar{x}))$ — as in associative-only case

$\vdash \boxed{f' f} \quad f'(\bar{x}, r) = r * f(\bar{x}) \quad \text{— as in associative-only case}$

$\vdash \boxed{f f'} \quad f(\bar{x}) = f'(\bar{x}, b(\bar{x}))$

$f' f \xrightarrow{r := b(\bar{x})} f'(\bar{x}, b(\bar{x})) = b(\bar{x}) * f(\bar{x}) \stackrel{\text{UI}}{=} f(\bar{x})$

QED

wrapper: $\tilde{f}(\bar{x}) \triangleq f'(\bar{x}, b(\bar{x})) \Rightarrow \vdash \boxed{f \tilde{f}} \quad f(\bar{x}) = \tilde{f}(\bar{x})$

Associative Binary Operator with Right Identity

conditions { ASC $u * (v * w) = (u * v) * w$ — associativity
RI $u * b(\bar{x}) = u$ — right identity

$$f(\bar{x}) = c(\bar{x}) * \left(c(\bar{d}(\bar{x})) * \left(c(\bar{d}^2(\bar{x})) * \dots * \left(c(\bar{d}^{m-1}(\bar{x})) * b(\bar{d}^m(\bar{x})) \dots \right) \right) \right) \quad \text{— expansion of } f, \text{ as before}$$

ASC → //

$$\left(\dots ((c(\bar{x}) * c(\bar{d}(\bar{x}))) * c(\bar{d}^2(\bar{x}))) * \dots * c(\bar{d}^{m-1}(\bar{x})) \right) * b(\bar{d}^m(\bar{x})) \quad \leftarrow$$

if $m > 0$ → //

$$\dots ((c(\bar{x}) * c(\bar{d}(\bar{x}))) * c(\bar{d}^2(\bar{x}))) * \dots * c(\bar{d}^{m-1}(\bar{x})) \quad \leftarrow$$

if $m > 0$,
finally return r
instead of $r * b(\bar{x})$

if $m = 0$,
initially return $b(\bar{x})$,
as in associative-only case



new function: $f'(\bar{x}, r) \triangleq \underline{\text{if }} a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } f'(\bar{d}(\bar{x}), r * c(\bar{x}))$ $\mu_{f'}, \lambda_{f'}, \tau_{f'}$ as before

$$\vdash \boxed{ff'} f'(\bar{x}, r) = r * f(\bar{x})$$

induct f' base) $a(\bar{x}) \xrightarrow{\delta_{f'}} f'(\bar{x}, r) = r \stackrel{\text{RI}}{=} r * b(\bar{x}) = r * f(\bar{x})$

step) $\delta_f \xrightarrow{\gamma a(\bar{x})} f(\bar{x}) = c(\bar{x}) * f(\bar{d}(\bar{x}))$ $\delta_f \xrightarrow{\delta_f} f'(\bar{x}, r) = f'(\bar{d}(\bar{x}), r * c(\bar{x})) = (r * c(\bar{x})) * f(\bar{d}(\bar{x})) \stackrel{\text{ASC}}{=} r * (c(\bar{x}) * f(\bar{d}(\bar{x}))) = r * f(\bar{x})$

IH $\xrightarrow{\delta_f} f'(\bar{d}(\bar{x}), r * c(\bar{x})) = (r * c(\bar{x})) * f(\bar{d}(\bar{x}))$

QED

$$\vdash \boxed{ff'} f(\bar{x}) = \underline{\text{if }} a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } f'(\bar{d}(\bar{x}), c(\bar{x})) \quad \text{— as in associative-only case}$$

wrapper: $\tilde{f}(\bar{x}) \triangleq \underline{\text{if }} a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } f'(\bar{d}(\bar{x}), c(\bar{x})) \Rightarrow \vdash \boxed{f\tilde{f}} f(\bar{x}) = \tilde{f}(\bar{x}) \quad \text{— as in associative-only case}$

Associative Binary Operator with Left and Right Identity

conditions $\left\{ \begin{array}{ll} \boxed{\text{ASC}} & u * (v * w) = (u * v) * w \quad - \text{associativity} \\ \boxed{\text{LI}} & b(\bar{x}) * u = u \quad - \text{left identity} \\ \boxed{\text{RI}} & u * b(\bar{x}) = u \quad - \text{right identity} \end{array} \right. \Rightarrow (U, *, b_0) \text{ is a monoid}$

$$\vdash \boxed{b.\text{const}} \quad b(\bar{x}) = b(\bar{y}) \\ \left. \begin{array}{l} b(\bar{x}) = b(\bar{x}) * b(\bar{y}) = b(\bar{y}) \\ \text{RI} \end{array} \right\} \Rightarrow b_0 \triangleq b(\bar{x}) \quad - \text{constant value of } b \\ \text{QED}$$

$$f(\bar{x}) = c(\bar{x}) * \overbrace{c(d(\bar{x})) * \overbrace{c(d^2(\bar{x})) * \dots * \overbrace{c(d^{m-1}(\bar{x})) * b(d^m(\bar{x})) \dots}}^{\text{no final } r * b(\bar{x})}}$$

— expansion of f , as before

ASC $\xrightarrow{\quad}$
 LI $\xrightarrow{\quad}$
 RI $\xrightarrow{\quad}$
 $\dots ((b(\bar{x}) * c(\bar{x})) * c(d(\bar{x}))) * c(d^2(\bar{x})) * \dots * c(d^{m-1}(\bar{x}))$

start with $r := b(\bar{x})$ \nearrow
 LI \nearrow
 no initial $\bar{x} := d(\bar{x})$ \nearrow
 LI \nearrow

no final $r * b(\bar{x})$ \nearrow
 RI \nearrow

no initial split on $a(\bar{x})$ \nearrow
 LI \nearrow

} "combine" LI and RI

\Downarrow

new function: $f'(\bar{x}, r) \triangleq \underline{\text{if }} a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } f'(d(\bar{x}), r * c(\bar{x}))$ — as in associative-with-right-identity case

$$\vdash \boxed{f'f} \quad f'(\bar{x}, r) = r * f(\bar{x}) \quad - \text{as in associative-with-right-identity case}$$

$$\vdash \boxed{ff'} \quad f(\bar{x}) = f'(\bar{x}, b(\bar{x})) \quad - \text{as in associative-with-left-identity case}$$

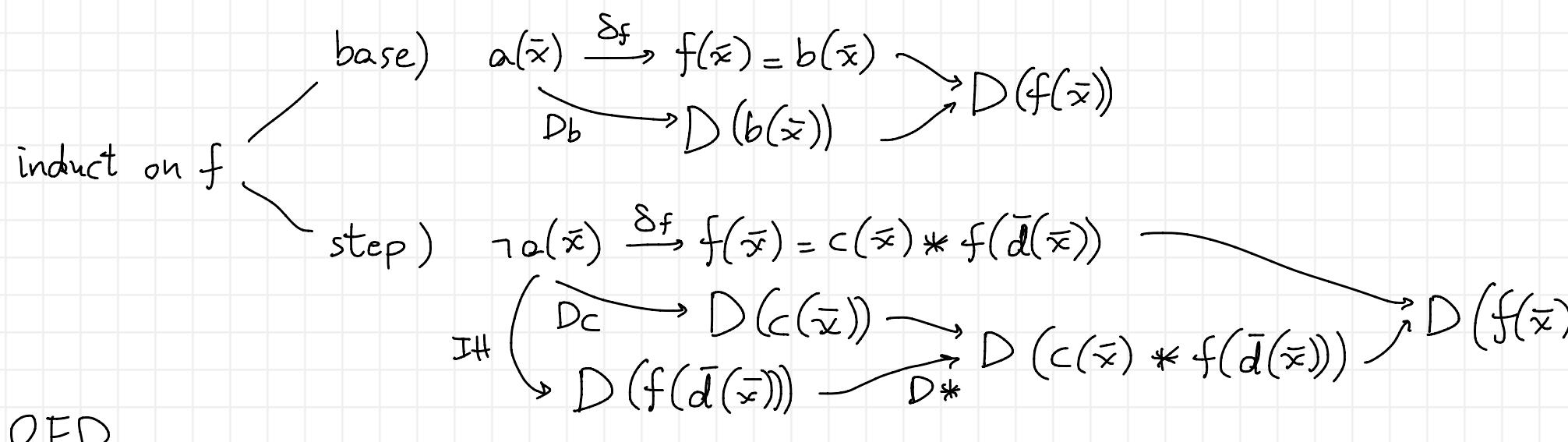
wrapper: $\tilde{f}(\bar{x}) \triangleq f(\bar{x}, b(\bar{x})) \Rightarrow \vdash \boxed{f\tilde{f}} \quad f(\bar{x}) = \tilde{f}(\bar{x}) \quad - \text{as in associative-with-left-identity case}$

Restriction of Operator Properties to a Domain

$D \subseteq U$ — domain

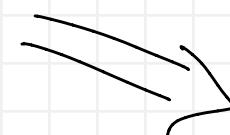
conditions	\boxed{Db}	$a(\bar{x}) \Rightarrow D(b(\bar{x}))$	— domain closure for b
	\boxed{Dc}	$\gamma a(\bar{x}) \Rightarrow D(c(\bar{x}))$	— domain closure for c
	$\boxed{D*}$	$D(u) \wedge D(v) \Rightarrow D(u*v)$	— domain closure for $*$
	\boxed{ASC}	$D(u) \wedge D(v) \wedge D(w) \Rightarrow u*(v*w) = (u*v)*w$	— associativity
	\boxed{LI}	$a(\bar{x}) \wedge D(u) \Rightarrow b(\bar{x}) * u = u$	— left identity (optional)
	\boxed{RI}	$a(\bar{x}) \wedge D(u) \Rightarrow u * b(\bar{x}) = u$	— right identity (optional)

$\vdash \boxed{Df} D(f(\bar{x}))$ — domain closure for f



$Db \wedge Dc \wedge D* \Rightarrow$ all the operands of $*$ in the expansion of $f(\bar{x})$ are in $D \Rightarrow$ ASC and RI apply

but LI applies only if $b(\bar{x})$ is such that $a(\bar{x})$ holds \Rightarrow we cannot use $b(\bar{x})$ for any \bar{x} in general



calculate, from any \bar{x} , some $b(\bar{x})$ such that $a(\bar{x})$ holds (i.e. go to the "bottom" of the recursion of f):

$$\beta(\bar{x}) \triangleq \text{if } a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } \beta(\bar{d}(\bar{x}))$$

$$\mu_\beta(\bar{x}) \triangleq \mu_f(\bar{x}) \quad \prec_\beta \triangleq \prec_f$$

$$\vdash \boxed{\text{LT}_\beta} \quad \neg a(\bar{x}) \Rightarrow \mu_\beta(\bar{d}(\bar{x})) \prec_\beta \mu_\beta(\bar{x})$$

$$\neg a(\bar{x}) \xrightarrow{\tau_f} \mu_f(\bar{d}(\bar{x})) \quad \prec_f \quad \mu_f(\bar{x})$$

$$\begin{array}{c} \delta_{\mu_f} \parallel \\ \mu_\beta(\bar{d}(\bar{x})) \quad \prec_\beta \quad \mu_\beta(\bar{x}) \end{array}$$

$\parallel \delta_{\mu_\beta}$

QED

$$\vdash \boxed{D\beta} \quad D(\beta(\bar{x}))$$

induct on β

base) $a(\bar{x}) \xrightarrow{\delta_\beta} \beta(\bar{x}) = b(\bar{x}) \xrightarrow{D_b} D(b(\bar{x})) \xrightarrow{\delta_\beta} D(\beta(\bar{x}))$

step) $\neg a(\bar{x}) \xrightarrow{\delta_\beta} \beta(\bar{x}) = \beta(\bar{d}(\bar{x})) \xrightarrow{I\#} D(\beta(\bar{d}(\bar{x}))) \xrightarrow{\delta_\beta} D(\beta(\bar{x}))$

QED

$$LI \Rightarrow \vdash \boxed{LI\beta} \quad D(u) \Rightarrow \beta(\bar{x}) * u = u$$

induct on β

base) $a(\bar{x}) \xrightarrow{\delta_\beta} \beta(\bar{x}) = b(\bar{x}) \xrightarrow{D(u)} b(\bar{x}) * u = u \xrightarrow{\text{LI}} \beta(\bar{x}) * u = u$

step) $\neg a(\bar{x}) \xrightarrow{\delta_\beta} \beta(\bar{x}) = \beta(\bar{d}(\bar{x})) \xrightarrow{D(u)} \beta(\bar{d}(\bar{x})) * u = u \xrightarrow{I\#} \beta(\bar{x}) * u = u$

QED

$$RI \Rightarrow \vdash \boxed{RI\beta} \quad D(u) \Rightarrow u * \beta(\bar{x}) = u$$

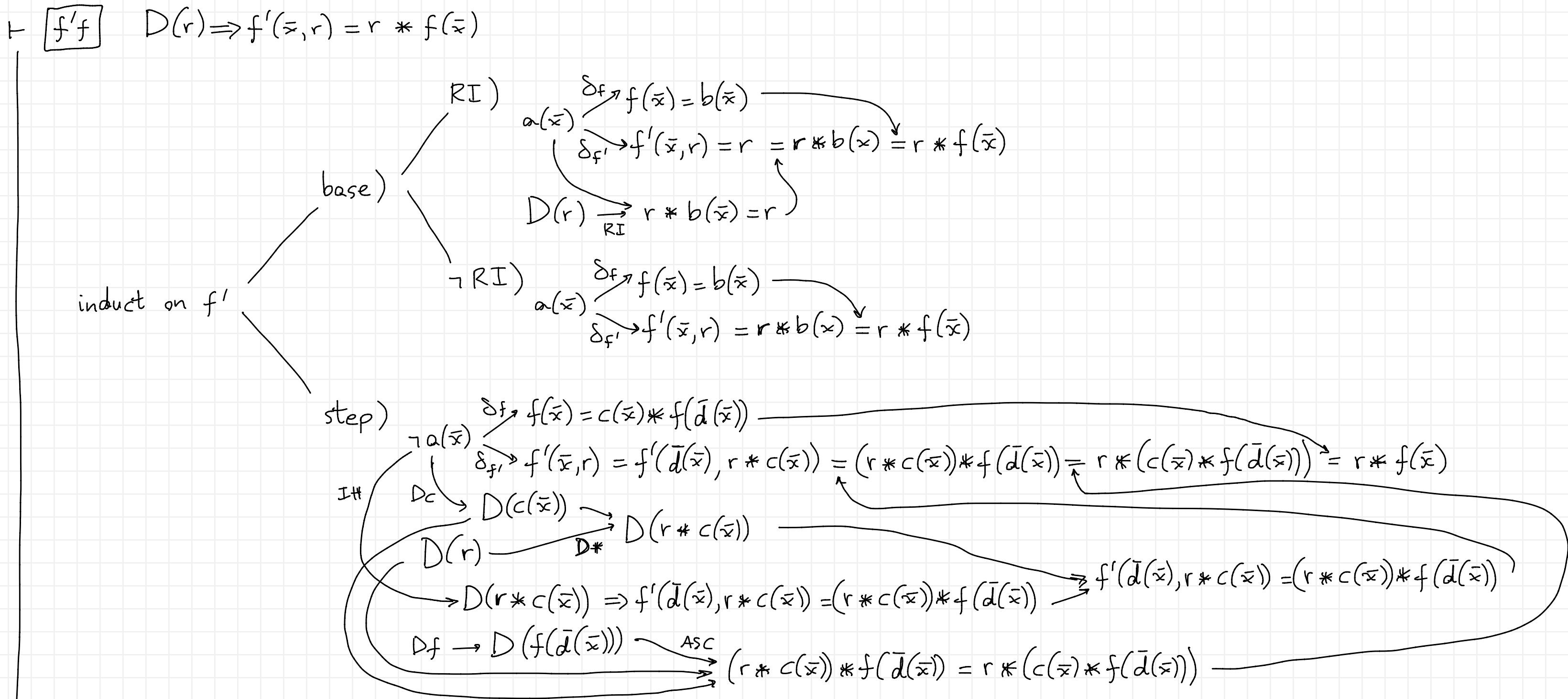
induct on β

base) $a(\bar{x}) \xrightarrow{\delta_\beta} \beta(\bar{x}) = b(\bar{x}) \xrightarrow{D(u)} u * b(\bar{x}) = u \xrightarrow{RI} u * \beta(\bar{x}) = u$

step) $\neg a(\bar{x}) \xrightarrow{\delta_\beta} \beta(\bar{x}) = \beta(\bar{d}(\bar{x})) \xrightarrow{D(u)} u * \beta(\bar{d}(\bar{x})) = u \xrightarrow{I\#} u * \beta(\bar{x}) = u$

QED

new function: $f'(\bar{x}, r) \triangleq \begin{cases} \text{if } a(\bar{x}) \text{ then } r & \text{else } f'(\bar{d}(\bar{x}), r * c(\bar{x})) \\ \text{if } a(\bar{x}) \text{ then } r * b(\bar{x}) & \text{else } f'(\bar{d}(\bar{x}), r * c(\bar{x})) \end{cases}$ $\Leftarrow RI$
 $\Leftarrow \neg RI$ — as when $D = U$



β and LI are not used in f' and $f'f$

$$\begin{array}{c}
 \text{L I} \Rightarrow \vdash \boxed{ff'} f(\bar{x}) = f'(\bar{x}, \beta(\bar{x})) \\
 | \\
 \begin{array}{c}
 D\beta \rightarrow D(\beta(\bar{x})) \xrightarrow{\quad} f'(\bar{x}, \beta(\bar{x})) = \beta(\bar{x}) * f(\bar{x}) = f(\bar{x}) \\
 f'f \xrightarrow{r := \beta(\bar{x})} \\
 Df \rightarrow D(f(\bar{x})) \xrightarrow{\quad} \text{L I } \beta
 \end{array} \\
 | \\
 \text{QED}
 \end{array}$$

wrapper : $\tilde{f}(\bar{x}) \triangleq f'(\bar{x}, \beta(\bar{x})) \Rightarrow \vdash \boxed{ff} \quad f(\bar{x}) = \tilde{f}(\bar{x})$ — as when $D = U$

$\gamma \text{ LI} \Rightarrow \vdash \boxed{ff'} \quad f(\bar{x}) = \underline{\text{if}} \ a(\bar{x}) \ \underline{\text{then}} \ b(\bar{x}) \ \underline{\text{else}} \ f'(\bar{d}(\bar{x}), c(\bar{x}))$

$f(\bar{x}) \stackrel{\delta_f}{=} \underline{\text{if}} \ a(\bar{x}) \ \underline{\text{then}} \ b(\bar{x}) \ \underline{\text{else}} \ c(\bar{x}) * f(\bar{d}(\bar{x})) = \underline{\text{if}} \ a(\bar{x}) \ \underline{\text{then}} \ b(\bar{x}) \ \underline{\text{else}} \ f'(\bar{d}(\bar{x}), c(\bar{x}))$

$ff' \xrightarrow[\bar{x} := \bar{d}(\bar{x})]{r := c(\bar{x})} D(c(\bar{x})) \Rightarrow f'(\bar{d}(\bar{x}), c(\bar{x})) = c(\bar{x}) * f(\bar{d}(\bar{x})) \rightsquigarrow \neg a(\bar{x}) \Rightarrow f'(\bar{d}(\bar{x}), c(\bar{x})) = c(\bar{x}) * f(\bar{d}(\bar{x}))$

$D_C \rightarrow \neg a(\bar{x}) \Rightarrow D(c(\bar{x}))$

QED

wrapper : $\tilde{f}(\bar{x}) \triangleq \text{if } a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } f'(\bar{d}(\bar{x}), c(\bar{x})) \Rightarrow \vdash \boxed{f \tilde{f}} \quad f(\bar{x}) = \tilde{f}(\bar{x})$ — as when $D = U$

β is used only if LI holds ; only $L\beta$ is used here, not $R\beta$

$\text{LI} \wedge \text{RI} \Rightarrow$

$$\vdash \boxed{\text{b.const}} \quad a(\bar{x}) \wedge a(\bar{y}) \Rightarrow b(\bar{x}) = b(\bar{y})$$

$a(\bar{x}) \xrightarrow{Db} D(b(\bar{x})) \xrightarrow{\text{RI}} b(\bar{x}) * b(\bar{y}) = b(\bar{x})$
 $a(\bar{y}) \xrightarrow{Db} D(b(\bar{y})) \xrightarrow{\text{LI}} b(\bar{x}) * b(\bar{y}) = b(\bar{y}) \xrightarrow{\text{LI}} b(\bar{x}) = b(\bar{y})$

QED

$$\vdash \boxed{\beta b} \quad a(\bar{y}) \Rightarrow \beta(\bar{x}) = b(\bar{y})$$

induct on β

- base) $a(\bar{x}) \xrightarrow{\delta\beta} \beta(\bar{x}) = b(\bar{x}) \xrightarrow{\text{LI}} \beta(\bar{x}) = b(\bar{y})$
- $a(\bar{y}) \xrightarrow{\text{b.const}} b(\bar{x}) = b(\bar{y}) \xrightarrow{\text{LI}} \beta(\bar{x}) = b(\bar{y})$
- step) $\neg a(\bar{x}) \xrightarrow{\delta\beta} \beta(\bar{x}) = \beta(d(\bar{x})) \xrightarrow{\text{IH}} \beta(\bar{x}) = b(\bar{y})$
 $a(\bar{y}) \xrightarrow{\text{IH}} \beta(d(\bar{x})) = b(\bar{y}) \xrightarrow{\text{LI}} \beta(\bar{x}) = b(\bar{y})$

QED

$$\vdash \boxed{\beta.\text{const}} \quad \beta(\bar{x}) = \beta(\bar{y})$$

induct on β

- base) $a(\bar{x}) \xrightarrow{\delta\beta} \beta(\bar{x}) = b(\bar{x}) \xrightarrow{\text{LI}} \beta(\bar{x}) = \beta(\bar{y})$
 $\xrightarrow{\beta b}$
 $\xrightarrow{\bar{x} := \bar{y}, \bar{y} := \bar{x}}$
- step) $\neg a(\bar{x}) \xrightarrow{\delta\beta} \beta(\bar{x}) = \beta(d(\bar{x})) \xrightarrow{\text{IH}} \beta(\bar{x}) = \beta(\bar{y})$
 $\xrightarrow{\text{IH}}$

QED

$b_0 \triangleq \beta(\bar{x})$ — constant value of β , i.e. of b under a

$$\vdash \boxed{\text{LI}_0} \quad D(u) \Rightarrow b_0 * u = u$$

$D(u) \xrightarrow{\text{LI}\beta} \beta(\bar{x}) * u = u \xrightarrow{\delta_{b_0}} b_0 * u = u$
 QED

$$\vdash \boxed{\text{RI}_0} \quad D(u) \Rightarrow u * b_0 = u$$

$D(u) \xrightarrow{\text{RI}\beta} u * \beta(\bar{x}) = u \xrightarrow{\delta_{b_0}} u * b_0 = u$
 QED

$$\vdash \boxed{Db_0} \quad D(b_0)$$

$D\beta \rightarrow D(\beta(\bar{x})) \xrightarrow{\delta_{b_0}} D(b_0)$
 QED

$D * \wedge Db_0 \wedge \text{ASC} \wedge \text{LI}_0 \wedge \text{RI}_0$

\Downarrow
 $(D, *, b_0)$ is a monoid

Guards

old function: $f(\bar{x}) \triangleq \text{if } a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } c(\bar{x}) * f(\bar{d}(\bar{x}))$

$$\gamma_{\bar{d}}(\bar{x}) = \gamma_{d_1}(\bar{x}) \wedge \dots \wedge \gamma_{d_n}(\bar{x})$$

$\boxed{\sqrt{f}} \quad \gamma_{\gamma_f}(\bar{x}) \wedge [\gamma_f(\bar{x}) \Rightarrow \gamma_a(\bar{x}) \wedge [a(\bar{x}) \Rightarrow \gamma_b(\bar{x})] \wedge [\neg a(\bar{x}) \Rightarrow \gamma_c(\bar{x}) \wedge \gamma_{\bar{d}}(\bar{x}) \wedge \gamma_f(\bar{d}(\bar{x})) \wedge \gamma_*(c(\bar{x}), f(\bar{d}(\bar{x})))]]]$

$D \subseteq \mathcal{U}$ — domain

conditions $\begin{cases} \boxed{GD} \quad \gamma_D = \mathcal{U} & - D \text{ always well-defined} \\ \boxed{G*} \quad \gamma_* \in D \times D & - * \text{ well-defined at least over } D \end{cases}$

new function: $f'(\bar{x}, r) \triangleq \begin{cases} \text{if } a(\bar{x}) \text{ then } r & \text{else } f'(\bar{d}(\bar{x}), r * c(\bar{x})) \\ \text{if } a(\bar{x}) \text{ then } r * b(\bar{x}) & \text{else } f'(\bar{d}(\bar{x}), r * c(\bar{x})) \end{cases} \Leftarrow RI \quad - \text{as before}$

$$\gamma_{f'}(\bar{x}, r) \triangleq [\gamma_f(\bar{x}) \wedge D(r)]$$

$\vdash \boxed{\sqrt{f'}}$ $\omega_{f'}(\bar{x}, r)$

RI)

$$\omega_{f'}(\bar{x}, r) = \gamma_{\gamma_f}(\bar{x}) \wedge \gamma_D(r) \wedge [\gamma_f(\bar{x}) \wedge D(r) \Rightarrow \gamma_a(\bar{x}) \wedge [\neg a(\bar{x}) \Rightarrow \gamma_{\bar{d}}(\bar{x}) \wedge \gamma_{\bar{c}}(\bar{x}) \wedge \gamma_*(r, c(\bar{x})) \wedge \gamma_f(\bar{d}(\bar{x})) \wedge D(r * c(\bar{x}))]]$$

$\neg RI)$

$$\omega_{f'}(\bar{x}, r) = \gamma_{\gamma_f}(\bar{x}) \wedge \gamma_D(r) \wedge [\gamma_f(\bar{x}) \wedge D(r) \Rightarrow \gamma_a(\bar{x}) \wedge [\neg a(\bar{x}) \Rightarrow \gamma_b(\bar{x}) \wedge \gamma_*(r, b(\bar{x}))] \wedge [\neg a(\bar{x}) \Rightarrow \dots]]$$

if $D_C \cap G_* \neq \emptyset$
as with RI

QED

$\beta(\bar{x}) \triangleq \text{if } a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } \beta(\bar{d}(\bar{x}))$ — as before

$$\gamma_\beta(\bar{x}) \triangleq \gamma_f(\bar{x})$$

$\vdash \boxed{\sqrt{\beta}}$ $\omega_\beta(\bar{x})$
 $\omega_\beta(\bar{x}) = \gamma_{\sqrt{f}}(\bar{x}) \wedge [\gamma_f(\bar{x}) \Rightarrow \gamma_a(\bar{x}) \wedge [\alpha(\bar{x}) \Rightarrow \gamma_b(\bar{x})] \wedge [\gamma_a(\bar{x}) \Rightarrow \gamma_{\bar{a}}(\bar{x}) \wedge \gamma_f(d(\bar{x}))]]$

 QED

$$\text{wrapper : } \tilde{f}(\bar{x}) \triangleq \begin{cases} f'(\bar{x}, \beta(\bar{x})) & \Leftarrow \text{LI} \\ \underline{\text{if }} a(\bar{x}) \underline{\text{ then }} b(\bar{x}) \underline{\text{ else }} f'(\bar{d}(\bar{x}), c(\bar{x})) & \Leftarrow \neg \text{LI} \end{cases} - \text{as before}$$

$$\gamma_f^*(\bar{x}) \triangleq \gamma_f(\bar{x})$$

$$\vdash \boxed{\sqrt{f}} \quad \omega_{\tilde{f}}(\bar{x})$$

(I)

$$\omega_{\tilde{f}}(\bar{x}) = \gamma_{\tilde{f}}(\bar{x}) \wedge [\gamma_f(\bar{x}) \Rightarrow \gamma_{f'}(\bar{x}) \wedge \gamma_{f'}(\bar{x}, \beta(\bar{x}))]$$

$$\gamma \vdash I$$

$$\omega_{\tilde{f}}(\tilde{x}) = \gamma_{x_f}(\tilde{x}) \wedge [\gamma_{f'}(\tilde{x}) \Rightarrow \gamma_a(\tilde{x}) \wedge [a(\tilde{x}) \Rightarrow \gamma_b(\tilde{x})] \wedge [\neg a(\tilde{x}) \Rightarrow \gamma_d(\tilde{x}) \wedge \gamma_c(\tilde{x}) \wedge \gamma_{f'}(d(\tilde{x}), c(\tilde{x}))]]$$

$\nearrow f$ $\nearrow f$ $\nearrow f$ $\nearrow f$ $\nearrow f$

$\delta_{\gamma_{f'}}$

$D_C \rightarrow D(c(\tilde{x}))$

if \tilde{f} is not generated, a proof like this establishes that the body of \tilde{f} is guard-verified under $\gamma_f(\bar{x})$: this theorem is useful to guard-verify terms where a call to f is replaced with the (instantiated) body of \tilde{f}

Decomposition of the Old Function

$f(\bar{x}) \triangleq \text{if } t_1 \text{ then } t_2 \text{ else } t_3$ t_1, t_2, t_3 terms without let f occurs in t_3 , not in t_1 or t_2

$$a \triangleq \lambda \bar{x}. t_1$$

$$b \triangleq \lambda \bar{x}. t_2$$

all calls to f in t_3 must be identical : $f(s_1, \dots, s_n)$ s_1, \dots, s_n terms not containing f $n > 0$

$$d_i \triangleq \lambda \bar{x}. s_i$$

$\tilde{t}_3 \triangleq t_3[f(s_1, \dots, s_n)/r]$, r fresh variable $\begin{cases} r \in FV(\tilde{t}_3), \text{ otherwise } f \text{ would not be recursive} \\ \tilde{t}_3 \neq r, \text{ otherwise } f \text{ would be already tail-recursive} \end{cases}$

$C \triangleq \{(c, *) \in (\mathcal{U}^n \rightarrow \mathcal{U}) \times (\mathcal{U} \times \mathcal{U} \rightarrow \mathcal{U}) \mid \tilde{t}_3 = c(\bar{x}) * r\}$ — candidates for c and $*$

$C = \emptyset$, e.g. $\tilde{t}_3 \equiv g(x_1, x_2, r)$

$|C| = 1$, e.g. $\tilde{t}_3 \equiv g(x_1, r)$, $C = \{\text{id}, g\}$

$|C| > 1$, e.g. $\tilde{t}_3 \equiv g(h(x_1), r)$, $C = \{(h, g), (\text{id}, \lambda(q, r). g(h(q), r))\}$

exactly one $(c, *) \in C$ for each term s such that $r \notin FV(s)$ and $FV(\tilde{t}_3[s/q]) \subseteq \{q, r\}$, q fresh variable

s includes all occurrences of \bar{x} in \tilde{t}_3

special case: $FV(\tilde{t}_3) = \{r\}$, i.e. \bar{x} do not occur in \tilde{t}_3

\Rightarrow any s would do, but then $*$ may ignore its first argument, making ASC, LI, RI less likely

when two such terms s and s' are one a subterm of the other, one is not always better than the other, e.g.:

$\tilde{t}_3 \equiv -x_1 + r \quad \begin{cases} s \equiv x_1 \Rightarrow * = \lambda(q, r). -q + r \text{ is not associative} \\ s' \equiv -x_1 \Rightarrow * = \lambda(q, r). q + r \text{ is associative} \end{cases} \Rightarrow$ larger term is better

$\tilde{t}_3 \equiv -(-x_1) + r \quad \begin{cases} s \equiv x_1 \Rightarrow * = \lambda(q, r). -(-q) + r \text{ is associative} \\ s' \equiv -x_1 \Rightarrow * = \lambda(q, r). -q + r \text{ is not associative} \end{cases} \Rightarrow$ smaller term is better

Special Case : Ground Base Value

old function: $f(\bar{x}) \triangleq \text{if } t_1 \text{ then } t_2 \text{ else } t_3$ — as in discussion about decomposition

$$FV(t_2) = \emptyset \text{ — ground base value} \Rightarrow b \triangleq \lambda \bar{x}. b_0, b_0 \in \mathcal{U} \Rightarrow f(\bar{x}) = \text{if } a(\bar{x}) \text{ then } b_0 \text{ else } c(\bar{x}) * f(\bar{d}(\bar{x}))$$

$$\begin{array}{l} \vdash \boxed{\beta_0} \quad \beta(\bar{x}) = b_0 \\ \text{induct on } \beta \quad \begin{array}{l} \text{base)} \quad a(\bar{x}) \xrightarrow{\delta_\beta} \beta(\bar{x}) = b_0 \\ \text{step)} \quad \gamma a(\bar{x}) \xrightarrow{\delta_\beta} \beta(\bar{x}) = \beta(\bar{d}(\bar{x})) = b_0 \\ \qquad \qquad \qquad \text{IH} \xrightarrow{\beta(\bar{d}(\bar{x})) = b_0} \beta(\bar{d}(\bar{x})) = b_0 \end{array} \\ \text{QED} \end{array}$$

$$\vdash \boxed{D(b_0)} \quad D(b_0)$$

$$D\beta \rightarrow D(\beta(\bar{x})) \xrightarrow{\beta_0} D(b_0)$$

$$\text{QED}$$

$$\begin{array}{l} LI \Rightarrow \vdash \boxed{LI_0} \quad D(u) \Rightarrow b_0 * u = u \\ \quad \quad \quad D(u) \xrightarrow{LI\beta} \beta(\bar{x}) * u = u \xrightarrow{\beta_0} b_0 * u = u \\ \quad \quad \quad \text{QED} \\ RI \Rightarrow \vdash \boxed{RI_0} \quad D(u) \Rightarrow u * b_0 = u \\ \quad \quad \quad D(u) \xrightarrow{RI\beta} u * \beta(\bar{x}) = u \xrightarrow{\beta_0} u * b_0 = u \\ \quad \quad \quad \text{QED} \end{array}$$

$D * \wedge D(b_0) \wedge ASC \wedge LI_0 \wedge RI_0 \Rightarrow (D, *, b_0)$ is a monoid

f' and $f'f$ are the same as before (they do not use β and LI)

$$\begin{array}{l} LI \Rightarrow \vdash \boxed{ff'_0} \quad f(\bar{x}) = f'(\bar{x}, b_0) \\ \quad \quad \quad D(b_0) \rightarrow D(b_0) \xrightarrow{} f'(\bar{x}, b_0) = b_0 * f(\bar{x}) = f(\bar{x}) \\ \quad \quad \quad f'f \xrightarrow{r := b_0} f'(\bar{x}, b_0) = b_0 * f(\bar{x}) = f(\bar{x}) \\ \quad \quad \quad Df \rightarrow D(f(\bar{x})) \xrightarrow{} f(\bar{x}) \\ \quad \quad \quad \text{QED} \end{array}$$

wrapper: $\tilde{f}(\bar{x}) \triangleq f'(\bar{x}, b_0) \Rightarrow \vdash \boxed{f\tilde{f}} \quad f(\bar{x}) = \tilde{f}(\bar{x})$ — as before

$\alpha(\bar{x}) \triangleq \underline{\text{if }} \alpha(\bar{x}) \underline{\text{ then }} \bar{x} \underline{\text{ else }} \alpha(\bar{d}(\bar{x}))$ $\alpha: \mathcal{U}^n \rightarrow \mathcal{U}^n$ — calculate \bar{x}_0 such that $\alpha(\bar{x}_0)$ holds, from any \bar{x}

$$\mu_\alpha(\bar{x}) \triangleq \mu_f(\bar{x}) \quad L_\alpha \triangleq L_f$$

$$\vdash \boxed{\tau_d} \dashv \alpha(\bar{x}) \Rightarrow \mu_\alpha(\bar{d}(\bar{x})) \prec_\alpha \mu_\alpha(\bar{x})$$

$$\gamma \alpha(\bar{x}) \xrightarrow{\pi_f} \mu_f(\bar{d}(\bar{x})) <_f \mu_f(\bar{x})$$

$$\delta_{\mu_2} || \quad \delta_{\zeta_2} || \quad || \quad \delta_{\mu_2}$$

$$\mu_2(\bar{d}(\bar{x})) <_2 \mu_2(\bar{x})$$

QED

$$\vdash \boxed{\alpha x} \quad \alpha(x(\bar{x}))$$

base) $a(\bar{x}) \xrightarrow{\delta_d}$

$$(\bar{x}) \xrightarrow{\delta_d} \alpha(\bar{x}) = \bar{x} \xrightarrow{\alpha} \alpha(\alpha(\bar{x}))$$

induct on α

$$\text{~step) } \neg a(\bar{x}) \xrightarrow{\delta_\alpha} a(\bar{x}) = \alpha(\bar{d}(\bar{x})) \xrightarrow{\text{IH}} a(\alpha(\bar{d}(\bar{x})))$$

[QED]

$$\sqrt{f} \Rightarrow \vdash \boxed{\gamma_f d} \quad \gamma_f(\bar{x}) \Rightarrow \gamma_f(\alpha(\bar{x}))$$

base)

$$\alpha(\bar{x}) \xrightarrow{\delta_\alpha} \alpha(\bar{x}) = \bar{x} \quad \begin{matrix} \nearrow \\ \searrow \end{matrix} \quad \gamma_f(\alpha(\bar{x}))$$

induct on α

$$\text{step) } \gamma_a(\bar{x}) \xrightarrow{\delta_a} a(\bar{x}) = a(d(\bar{x})) \quad \text{---} \quad \gamma_f(a(\bar{x}))$$

$\gamma_f(\bar{x}) \xrightarrow{v_f} \gamma_f(d(\bar{x})) \xrightarrow{\text{IH}} \gamma_f(a(d(\bar{x}))) \xrightarrow{\text{IH}} \gamma_f(a(\bar{x}))$

- QED

$$b = \lambda \bar{x}. b_0 \Rightarrow \gamma_b \stackrel{\Delta}{=} \lambda \bar{x}. \gamma_{b_0}, \quad \gamma_{b_0} \in \mathcal{U}$$

$\vdash \boxed{\gamma_b \cdot \text{const}}$ $\gamma_b(\bar{x}) = \gamma_b(\bar{y})$
 $\gamma_b(\bar{x}) \underset{\delta_{\gamma_b}}{=} \gamma_{b_0} \underset{\delta_{\gamma_b}}{=} \gamma_b(\bar{y})$
 QED

$$\sqrt{f} \Rightarrow \vdash \boxed{G_b} \quad \gamma_f(\bar{x}) \Rightarrow \gamma_b(\bar{x})$$

$\gamma_f(\bar{x}) \xrightarrow{\gamma_f \alpha} \gamma_f(\alpha(\bar{x})) \xrightarrow{\gamma_b \cdot \text{const}} \gamma_b(\alpha(\bar{x})) = \gamma_b(\bar{x})$
 $\alpha \alpha \rightarrow \alpha(\alpha(\bar{x}))$

QED

$$\sqrt{f} \wedge \text{LI} \Rightarrow \vdash \boxed{\tilde{\omega}_f} \quad \tilde{\omega}_f(\bar{x})$$

~~$\tilde{\omega}_f(\bar{x}) = \gamma_{\tilde{\omega}_f}(\bar{x}) \wedge [\gamma_f(\bar{x}) \Rightarrow \gamma_b(\bar{x}) \wedge \gamma_{f'}(\bar{x}, b_0)]$~~
 $b_0 = b(\bar{x})$

QED

summary of this special case (ground base value): b_0 can be used instead of β (when LI holds)

note that D_{b_0} and LI_0 can be proved without using β :

$\vdash \boxed{D_{b_0}} \quad D(b_0) \quad - \text{alternative proof}$
 $\alpha \alpha \rightarrow \alpha(\alpha(\bar{x})) \xrightarrow[\bar{x} := \alpha(\bar{x})]{D_b} D(b(\alpha(\bar{x}))) \xrightarrow[\bar{x} := \alpha(\bar{x})]{\delta_b} D(b_0)$
 QED

$\text{LI} \Rightarrow \vdash \boxed{LI_0} \quad D(u) \Rightarrow b_0 * u = u \quad - \text{alternative proof}$
 $\alpha \alpha \rightarrow \alpha(\alpha(\bar{x})) \xrightarrow[\bar{x} := \alpha(\bar{x})]{LI} b(\alpha(\bar{x})) * u = u \xrightarrow[\bar{x} := \alpha(\bar{x})]{\delta_b} b_0 * u = u$
 $D(u)$
 QED

Extension of Operator Associativity and Closure outside the Domain

conditions	\boxed{Db}	$a(\bar{x}) \Rightarrow D(b(\bar{x}))$	— as before
	$\boxed{D^*'}$	$D(u * v)$	— unconditional version of D^*
	$\boxed{ASC'}$	$u * (v * w) = (u * v) * w$	— unconditional version of ASC
	\boxed{LI}	$a(\bar{x}) \wedge D(u) \Rightarrow b(\bar{x}) * u = u$	— as before, but required (not optional)
	\boxed{RI}	$a(\bar{x}) \wedge D(u) \Rightarrow u * b(\bar{x}) = u$	— as before, and still optional

$(Db \wedge D^* \wedge ASC)$ is neither weaker nor stronger than $(Db \wedge Dc \wedge D^* \wedge ASC)$

D^* and ASC' may be satisfied when $*$ fixes its arguments to be in D

$\vdash \boxed{Df} D(f(\bar{x}))$	— as before , but slightly different proof
$\begin{array}{c} a(\bar{x}) \xrightarrow{\delta_f} f(\bar{x}) = b(\bar{x}) \xrightarrow{Db} D(b(\bar{x})) \\ \swarrow \quad \searrow \end{array}$	$\xrightarrow{D(f(\bar{x}))}$
$\begin{array}{c} \neg a(\bar{x}) \xrightarrow{\delta_f} f(\bar{x}) = c(\bar{x}) * f(\bar{d}(\bar{x})) \\ \xrightarrow{D^*'} D(c(\bar{x}) * f(\bar{d}(\bar{x}))) \\ \quad \begin{array}{l} u := c(\bar{x}) \\ v := f(\bar{d}(\bar{x})) \end{array} \end{array}$	$\xrightarrow{D(f(\bar{x}))}$
QED	

$\beta(\bar{x}) \triangleq \text{if } a(\bar{x}) \text{ then } b(\bar{x}) \text{ else } \beta(\bar{d}(\bar{x}))$ — as before

$\vdash \boxed{D\beta} D(\beta(\bar{x}))$ — as before , same proof

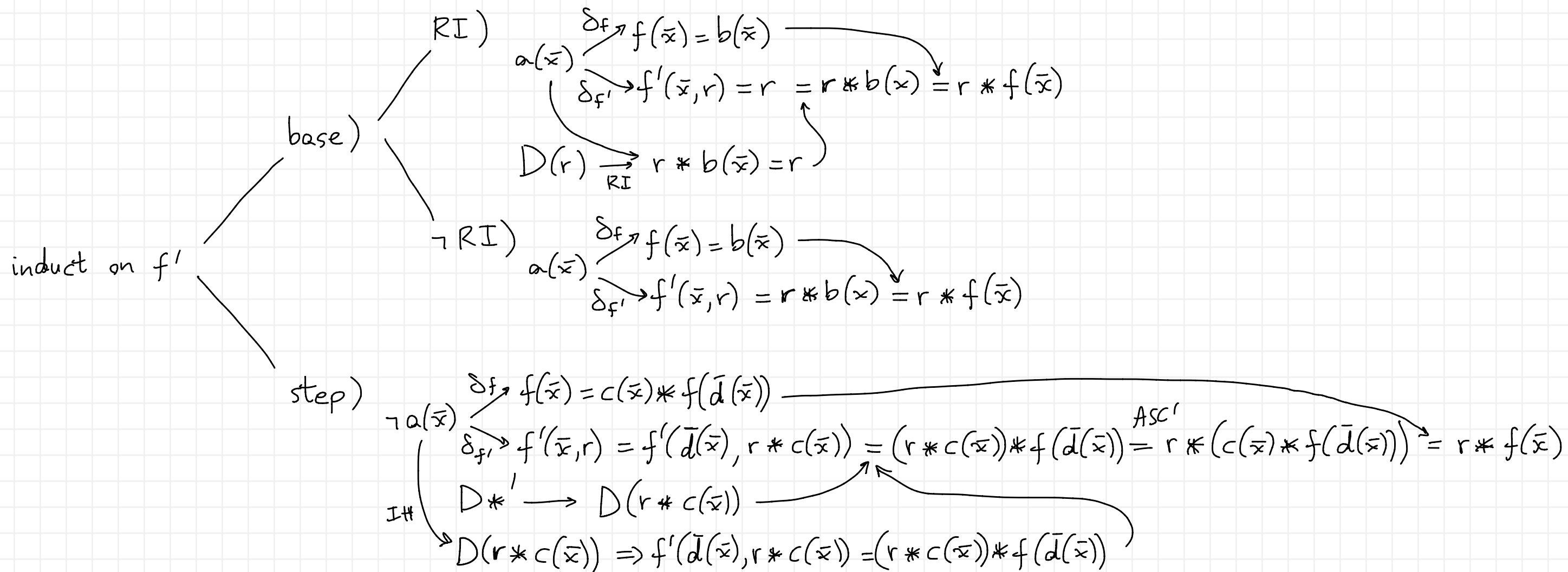
$\vdash \boxed{LI\beta} D(u) \Rightarrow \beta(\bar{x}) * u = u$ — as before , same proof

$RI \Rightarrow \vdash \boxed{RI\beta} D(u) \Rightarrow u * \beta(\bar{x}) = u$ — as before , same proof

$RI \Rightarrow (D, *, b_0)$ is a monoid — as before , same lemmas and proofs

new function: $f'(\bar{x}, r) \triangleq \begin{cases} \text{if } a(\bar{x}) \text{ then } r & \text{else } f'(\bar{d}(\bar{x}), r * c(\bar{x})) \\ \text{if } a(\bar{x}) \text{ then } r * b(\bar{x}) & \text{else } f'(\bar{d}(\bar{x}), r * c(\bar{x})) \end{cases} \Leftarrow RI$ — as before
 $\Leftarrow \neg RI$

$\vdash \boxed{f'f} D(r) \Rightarrow f'(\bar{x}, r) = r * f(\bar{x})$ — as before, but slightly different proof



QED

$\vdash \boxed{ff'} f(\bar{x}) = f'(\bar{x}, \beta(\bar{x}))$ — as before, same proof

the proof of ff' when LI does not hold needs D_C to use an instance of $f'f$

$\Rightarrow LI$ is required here; otherwise $(D_b, D_C, D*' \wedge ASC')$ is stronger (i.e., less satisfiable) than $(D_b, D_C, D*, ASC)$

conditions	\boxed{GD}	$\gamma_D = \mathcal{U}$	— as before
	$\boxed{G*}$	$\gamma_* \geq D \times D$	— as before
	\boxed{GDC}	$\gamma_f(\bar{x}) \wedge \neg a(\bar{x}) \Rightarrow D(c(\bar{x}))$	— weaker version of DC , conditioned by the guard of f

$$\gamma'_f(\bar{x}, r) \triangleq [\gamma_f(\bar{x}) \wedge D(r)] \quad — \text{as before}$$

$\vdash \boxed{\sqrt{f'}}$ $\omega_{f'}(\bar{x}, r)$ — as before , but slightly different proof

$$\begin{aligned} & \text{RI)} \\ & \omega_{f'}(\bar{x}, r) = \cancel{\gamma_{r_f}(\bar{x})} \wedge \cancel{\gamma_D(r)} \wedge [\gamma_f(\bar{x}) \wedge D(r) \Rightarrow \gamma_a(\bar{x}) \wedge [\neg a(\bar{x}) \Rightarrow \cancel{\gamma_a(\bar{x})} \wedge \cancel{\gamma_c(\bar{x})} \wedge \cancel{\gamma_*(r, c(\bar{x}))} \wedge \cancel{\gamma_f(\bar{a}(\bar{x}))} \wedge D(r * c(\bar{x}))]]] \\ & \quad \xrightarrow{\sqrt{f}} \gamma_a(\bar{x}) \wedge \gamma_c(\bar{x}) \wedge \gamma_*(r, c(\bar{x})) \wedge \gamma_f(\bar{a}(\bar{x})) \wedge D(r * c(\bar{x})) \\ & \quad \xrightarrow{G*} D(r * c(\bar{x})) \\ & \quad \xrightarrow{GDC} D(c(\bar{x})) \\ & \quad \xrightarrow{\sqrt{f}} D(r * c(\bar{x})) \\ & \quad \xrightarrow{D*'} D(r * c(\bar{x})) \\ \\ & \neg \text{RI)} \\ & \omega_{f'}(\bar{x}, r) = \cancel{\gamma_{r_f}(\bar{x})} \wedge \cancel{\gamma_D(r)} \wedge [\gamma_f(\bar{x}) \wedge D(r) \Rightarrow \gamma_a(\bar{x}) \wedge [\neg a(\bar{x}) \Rightarrow \cancel{\gamma_b(\bar{x})} \wedge \cancel{\gamma_*(r, b(\bar{x}))}]] \wedge [\neg a(\bar{x}) \Rightarrow \dots]] \\ & \quad \xrightarrow{\sqrt{f}} \gamma_a(\bar{x}) \wedge \gamma_b(\bar{x}) \wedge \gamma_*(r, b(\bar{x})) \\ & \quad \xrightarrow{Db} D(b(\bar{x})) \\ & \quad \xrightarrow{\text{if } GDC \text{ } G* \text{ } D*'} \dots \\ & \quad \text{as with RI} \end{aligned}$$

$$\gamma_\beta(\bar{x}) \triangleq \gamma_f(\bar{x}) \quad — \text{as before}$$

$\vdash \boxed{\sqrt{\beta}}$ — as before , same proof

$$\gamma_f^v(\bar{x}) \triangleq \gamma_f(\bar{x}) \quad — \text{as before}$$

$\vdash \boxed{\sqrt{\tilde{f}}}$ — as before , same proof (only LI case)

special case of a ground base value : everything is the same as before